

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
ART03.03

In Re Application Of: **Steve EK**

Serial No.
new application

Filing Date
FEBRUARY 27, 2004

Examiner

Group Art Unit

Title: **ARTICULAR SURFACE IMPLANT**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
ART03.03

In Re Application: **Steve EK**

Serial No.
new application

Filing Date
FEBRUARY 27, 2004

Examiner

Group Art Unit

ARTICULAR SURFACE IMPLANT

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-2121**
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **February 27, 2004** with the U.S. Postal Service as **EXPRESS** mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EL 984-320-037 US

Carol McClelland

Signature of Person Mailing Correspondence

Carol McClelland

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Jeffrey Placker
Signature

Jeffrey T. Placker

Attorney for Applicant

Reg. No. 47,862

Grossman, Tucker, Perreault & Pfleger, PLLC

55 South Commercial Street

Manchester, New Hampshire 03101

Tele: 603.668.6560

Fax: 603.668.2970

CC:

Dated: February 27, 2004

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. ART03.03		SERIAL NO. w/new application	
				STEVE EK			
				FILING FEBRUARY 27, 2004		GROUP	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,081,741	06.27.2000	HOLLIS	600	424	
	2	4,158,894	06.26.1979	WORRELL	3	1.91	
	3	5,580,353	12.03.1996	MENDES, et al	623	20	
	4	4,531,517	07.30.1985	FORTE, et al	128	92	
	5	5,702,401	12.30.1997	SHAFFER	606	102	
	6	6,206,885 B1	03.27.2001	GHAHREMANI, et al	606	96	
	7	6,478,801	11.12.2002	RALPH, et al	606	99	
	8	5,486,178	01.23.1996	HODGE	606	82	
	9	6,306,142 B1	10.23.2001	JOHANSON, et al	606	79	
	10	6,146,385	11.14.2000	TORRIE, et al	606	96	
	11	5,782,835	07.21.1998	HART, et al	606	79	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	12	WO 01/82677 A2	11.08.2001	PCT	A 61 F	2/30M2	✓
	13	63-300758	12.07.1988	JAPAN (w/English Abstract)	A 61 F	2/44	✓
	14	6-339490	12.13.1994	JAPAN (w/English Abstract)	A 61 F	2/28	✓
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	15	"Preliminary Results of Partial Surface Replacement of the Femoral Head in Osteonecrosis", M. Siguier, T. Judet, T. Siguier, G. Charnley, B. Brumpt and I. Yague, The Journal of Arthroplasty, Vol. 14, No. 1, pp. 45-51, 1999					
	16	"Partial Resurfacing Arthroplasty of the Femoral Head in Avascular Necrosis-Methods, Indications and Results", T. Siguier, M. Siguier, T. Judet, G. Charnley and B. Brumpt, Clinical Orthopaedics and Related Research, Number 386, pp. 85-90, 2001					
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. ART03.03	SERIAL NO. w/new application
	STEVE EK	
	FILING FEBRUARY 27, 2004	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
17	5,632,745	05.27.1997	SCHWARTZ	606	75	
18	5,458,643	10.17.1995	OKA, et al	623	18	
19	5,314,478	05.24.1994	OKA, et al	623	18	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
-----------------	------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.